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# HIV-1 dual/mixed tropic isolates show different genetic and phenotypic characteristics and response to maraviroc *in vitro*<sup>☆</sup>

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#### ABSTRACT

Dual/mixed-tropic HIV-1 strains are predominant in a significative proportion of patients, though few information is available regarding the genetic characteristics, quasispecies composition, and susceptibility against CCR5-antagonists of the primary-isolates. For this reason, we investigated in deep details, both phenotypically and genotypically, the characteristics of 54 HIV-1 primary-isolates obtained from HIV-infected patients. Tropism was assessed by multiple-cycles phenotypic-assay on U87MG-CD4+-CCR5+-/CXCR4+-expressing cells. *In vitro* selection in PBMCs of X4-tropic viral strains following maraviroc-treatment was also performed.

Phenotypic-assay reported pure R5-tropic viruses in 31 (57.4%) isolates, dual/mixed-tropic viruses in 22 (40.7%), and pure X4-tropic virus in only 1 (1.8%). Among dual/mixed-tropic isolates, 12 showed a remarkably higher replication-efficacy in CCR5-expressing cells (R5 $^+$ /X4), and 2 in CXCR4-expressing cells (R5/X4 $^+$ ). Genotypic-tropism testing showed a correlation between PSSM-scores, geno2pheno false-positive-rate, and V3-net-charge with both CCR5-usage and syncytium-inducing ability. Moreover, specific gp120- and gp41-mutations were significantly associated with tropism and/or syncytium-inducing ability.

Ultra-deep V3-pyrosequencing showed the presence of a swarm of genetically distinct species with a preference for CCR5-coreceptor not only in all pure R5-isolates, but also in 6/7 R5<sup>+</sup>/X4-tropic isolates. In both pure-X4 and R5/X4<sup>+</sup>-isolates, we observed extensive prevalence of X4-using species. *In vitro* selection-experiments with CCR5-inhibitor maraviroc (up to 2 months) showed no-emergence of X4-tropic variants for all R5- and R5<sup>+</sup>/X4-isolates tested (while X4-virus remained fully-resistant).

In conclusion, our study shows that dual/mixed-tropic viruses are constituted by different species, whereby those with characteristics R5<sup>+</sup>/X4 are genotypically and phenotypically similar to the pure-R5 isolates; thus the use of CCR5-antagonists in patients with R5<sup>+</sup>/X4-tropic viruses may be a therapeutic-option that deserves further investigations.

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## 1. Introduction

HIV-1 entry into host cells requires gp120-interaction with CD4-receptor and then with either CCR5 or CXCR4 chemokine co-

receptor. HIV-1 strains can be phenotypically classified according to virus-ability to use CCR5 and/or CXCR4 co-receptor. Thus, pure R5-tropic and pure X4-tropic virus can use only the CCR5 and CXCR4 co-receptors to enter target-cell, respectively, while dual-tropic virus can use both co-receptors (Berger, 1998; Loftin et al., 2010; Scarlatti et al., 1997; Yi et al., 1999, 2005). In a viral population, the use of both co-receptors can be due either to the presence of dual-tropic clones, to a mixture of pure R5-tropic and X4-tropic clones, or both. This is defined as dual/mixed (D/M) phenotype.

R5-tropic viruses are generally responsible for the establishment of the initial infection, and predominate in most drug-naïve

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patients (prevalence, >80%) also in advanced stages of the disease (Brumme et al., 2005; Melby et al., 2006; Moyle et al., 2005; Wilkin et al., 2007), while X4-tropic viruses are restricted to advanced stages, and are associated with CD4-cell count decline. In addition, they are rarely observed in either drug naïve (<1%) or treatment-experienced patients (<5%) with preserved immunefunction (Brumme et al., 2005; Melby et al., 2006; Moyle et al., 2005; Wilkin et al., 2007; Simon et al., 2010). Conversely, a quite high proportion of patients harbouring dual/mixed-tropic viruses has been observed both in drug-naïve (prevalence ranging 12–15%) and in treatment-experienced patients (prevalence ranging 20–50%) (Church et al., 2008; Huang et al., 2007; Lihana et al., 2009; Moreno et al., 2009; Shepherd et al., 2008).

Preliminary findings recently highlighted the existence of different types of D/M viruses: those that are much more efficient in using the CCR5 co-receptor (R5<sup>+</sup>/X4), those that use more efficiently the CXCR4 (R5/X4<sup>+</sup>), and those that can use with the same efficiency both co-receptors (R5/X4) (Loftin et al., 2010; Huang et al., 2007; Symons et al., 2011; Toma et al., 2010). These studies have been conducted using recombinant viruses, while nothing is known about the tropism characteristics of primary HIV-1 isolates, and their pathogenetic potential.

CCR5-antagonists are a new class of anti-HIV-1 drugs that specifically inhibit the entry of CCR5-tropic HIV-1 strains into the target cells by allosteric inhibition of the CCR5 co-receptor (Dorr et al., 2005; Princen and Schols, 2005; Regoes and Bonhoeffer, 2005). Maraviroc is the first approved CCR5 antagonist, which entered in clinical practice in 2007. Although the use of maraviroc is so far recommended only in patients with pure R5-viruses (Department of Health and Human Services, 2009), there is the increasing evidence that the use of this drug may be productively extended also to a subset of patients harbouring D/M-tropic viruses (Symons et al., 2011; Toma et al., 2010; Swenson et al., 2009). Therefore, defining the subset of viruses that can be suppressed by maraviroc is crucial to optimize the use of CCR5 antagonists in clinical practice, also in patients infected with D/M tropic viruses.

In this light, the goal of this study was to phenotypically and genotypically characterize the viral tropism of a large panel of HIV-1 primary isolates obtained from plasma of HIV-1 infected individuals. Particular attention was dedicated to: (a) the definition of R5-, X4-, D/M-populations by the study of viral replication in U87 cell lines expressing CXCR4 or CCR5 coreceptor; (b) full-length gp160 standard population sequencing; (c) V3 ultra-deep pyrosequencing, and evaluation of *in vitro* selection of X4-tropic viral strains following maraviroc treatment.

# 2. Materials and methods

# 2.1. Cells

Human astroglioma U87MG-cells expressing CD4-receptor alone or with CXCR4 co-receptor (U87MG-CD4\*/CXCR4\*) or CCR5 co-receptor (U87MG-CD4\*/CCR5\*) and their corresponding parental cells were kindly provided by Dr. David Kabat, and were maintained in MEM/EBSS (Gibco) with the addition of 10% fetal bovine serum (FBS-HiClone), 1 mM sodium pyruvate (Euroclone), 0.1 mM non-essential amino acids (Euroclone), 100 U/ml penicillin + 100 μg/ml streptomycin (Euroclone) and 2 mM L-glutamine (Gibco). Medium for U87MG-CD4\*/CXCR4\* and U87MG-CD4\*/CCR5\* was supplemented with 400 μg/ml G418 (Sigma-Chemicals). Peripheral blood mononuclear cells (PBMC) were obtained by centrifuging whole-blood from healthy HIV-seronegative blood-donors on a Ficoll-Hypaque density-gradient as previously described (Aquaro et al., 2001, 2004; Garaci et al., 2003;

Perno et al., 1998). MT-2 cell-line expressing CD4- and CXCR4-receptors were maintained in RPMI1640 (Euroclone) with addition of 10% FBS at 37  $^{\circ}$ C with 5% CO<sub>2</sub>.

#### 2.2. Viruses

Seventy-one isolates were obtained from CD8<sup>+</sup>-depleted PBMC of HAART-experienced HIV-infected patients, after achievement of therapeutic-success, as previously described (Andreoni et al., 2000). The laboratory strains HIV<sub>BaL</sub> (pure R5-tropic) and HIV<sub>IIIB</sub> (pure X4-tropic) were used as controls in all *in vitro* experiments.

# 2.3. Phenotypic-tropism

Viral tropism was evaluated by a phenotypic assay based on multiple cycles of replication in U87MG-CD4 $^+$ /CCR5 $^+$  and U87MG-CD4 $^+$ /CXCR4 $^+$  cells. Briefly, U87MG-CD4 $^+$ /CCR5 $^+$  and U87MG-CD4 $^+$ /CXCR4 $^+$  cells (2 × 10 $^5$  cells/ml) were grown in 96-well plates in a final volume of 200  $\mu$ l/well. After 24 h, the medium was removed, and the infection was performed by using 100  $\mu$ l/well of viral suspension (900 TCID $_{50}$ /ml) for 2 h. The test was performed in quadruplicate. After 13 days of culture, the supernatants were collected and analyzed for p24 production (AgGenscreen TM HIV-1 Assay, BioRad) to a dilution up to 1:100 to better quantify viral production.

## 2.4. Syncytia-phenotypic assay

To determine the syncytium-inducing or non-syncytium-inducing biological phenotype of HIV-1 isolates, an aliquot of viral-stock supernatant containing 100 TCID<sub>50</sub>/ml (tissue culture infectious doses) was cultured in T25 flasks with 10<sup>6</sup> MT-2-cells. Cultures were maintained up to 4-weeks and examined for syncytia twice a week. Syncytia formation was defined as at least 10 multinucleated giant cells in 5-high-power fields

# 2.5. In vitro selection of X4-tropic viral strains following maraviroc treatment

Maraviroc was used at the concentrations of 200 nM and 40 nM, about 100 and 20 times higher than IC90, respectively, as previously determined in an in vitro study (Dorr et al., 2005), and in the range of Cmin of maraviroc in treated patients (74 nM = 37.2 ng/ml, http://us.gsk.com/products/assets/us\_selzentry.pdf). Zidovudine (AZT) was used as control at a concentration of 1 µM, known to inhibit >99% the replication of laboratory strains. Stimulated PBMC with IL-2 and PHA were infected with a standardized input of each virus (12,000 p24 pg/ml). After 2 h of adsorption, cells were extensively washed to remove any residual viral particle, re-suspended in complete medium and cultured on 96-well plates (20,000 cells/well) for 3 days. These cultures were then used to infect fresh activated PBMC by co-cultivation in presence of maraviroc (at concentration of 40 nM and/or 200 nM). After 3 days, the co-cultivated cells were transferred into 48-well culture plates. The cultures were split weekly and renewed by adding fresh stimulated PBMC (total cell density,  $4 \times 10^5 / \text{ml}$ ). Maraviroc was added at the start of each new co-culture, and always maintained at the same concentrations as at the beginning of the experiment. Experiments were continued up to 7 co-cultures (2 months). Virus-growth was monitored weekly by measuring supernatant p24 levels, before starting a new co-culture. All assays were performed in duplicate. For each isolate, infected-PBMC were also kept untreated, as a control of viral replication without drug-pressure.

# 2.6. Gp160 sequencing

The protocol for full-length GP160 sequencing has been designed and optimized as follows. HIV-1 RNA was extracted from each isolate with a commercially available kit (QIAamp RNA-Viral-Mini-kit, Qiagen). The full-length HIV-1 gp160 region of env gene was then reverse-transcribed amplified by two overlapping polymerase chain reactions (PCR) using two couples of primers (amplicon-A = S1:5'GATGGAACAAGCCCCAGAA3' + AS4:5'GGGAGGGGCATAC-ATTGCT3' and amplicon-B = S10: 5'CCAATTCCCATACATTATTGT3' + AS9:5'GTCCCCCTTTTCTTTTAAAA3'). The conditions for reverse transcription and amplification were: one cycle at 50 °C for 30 min, one cycle 94°C for 2 min, 40 cycles (94°C 30 s, 53°C 30 s, 72 °C 2 min), and a final step at 72 °C for 10 min, using the following reaction mix: 25 µl of RNA template, 8 µl of 5 mM Mg<sup>2+</sup>, 3 µl of Dnase Rnase free water, 0.75 µl of each primer at a concentration of  $10 \mu M$ ,  $1 \mu l$  of Rnase out  $(40 U/\mu l)$ , 1.5 µl of RT/Taq, 1 µl of dNTPs at a concentration of 10 mM for a total of  $40 \,\mu l$ . When the RT-PCR product was not visible on agarose gel, a nested PCR was performed (amplicon-A = SNEW:5'GAAGCTGTTAGACATTTT3' + AS4:5'GGGAGGGGCATA-CATTGCT3' and amplicon-B = S10:5'CCAATTCCCATACATTATTGT3' + AMPB2AS: 5'CTTAAAGGTACCTGAGGTCT3'). Amplification conditions were: one cycle at 93 °C for 12 min, 40 cycles (95 °C 30 s, 51 °C 30 s, 72 °C 2.2 min) and a final step at 72 °C for 10 min, using the following reaction mix:  $5 \mu l$  of buffer taq  $10 \times$ ,  $4 \mu l$  of Mg<sup>2+</sup> at a concentration of 25 mM, 32.5 µl of Dnase Rnase free water, 0.9 µl of each primer at a concentration of 10 µM, 1 µl of dNTPs at a concentration of 10 mM, 0.7  $\mu$ l of Tag (5  $\mu/\mu$ l) for a total of 45  $\mu$ l. The PCR product was purified by Microcon PCR purification kit (Millipore). Negative and positive control samples were included in each PCR run to exclude false-positive and false-negative reactions.

PCR-products were then sequenced by using the BigDye terminator v.3.1 cycle sequencing kit (Applied-Biosystems), and an automated sequencer (ABI-3100). Several different overlapping sequence-specific primers were used to ensure the coverage of the gp160-sequence by at least two sequence segments (for amplicon-A, S2:5'GAAAGAGCAGAAGACAGTG3', S3:5'CCAATTCC CATACATTATTGT3', AS2:5'GTGTACATTGTACTGTGCTGA3', AS3: 5'CAATTTCTGGG TCCCCTC3', AS4:5'GAAAAATTCCCCTCCACAATT3', S4:5'CCAATTCCCATACATTATTG T3', AS4:5'CCCCTCCACAATTAA-AA3'; for amplicon-B, S6:5'CTGACGGTACAGGCCAGA3', AS6: 5'GAGTATCCCTGCCTAACT3', S7:5'GGCTGTGGTATATAAAAATAT3', AS7:5'GTC CCCCCTTTTCTTTTAAAA3'). The sequences were analyzed using SeqScape-v.2.5 software. The quality endpoint for each individual, was ensured by a coverage of the entire gp160 sequence by at least two sequence segments. Translated protein sequences from gp120- and gp41-genes were generated and aligned using Bioedit 7.0 software and CLUSTALW1.8. To ensure the quality of the data, sequences were excluded from the analysis if (a) contained stop codons; (b) contained ambiguities consisting of >2 bases per nucleotide position. Alignment of sequences was performed either globally on the full length gp120-gp41 genes, and for the specific protein. Furthermore, to facilitate gp120 analysis, different datasets were created containing either costant or variable gp120 domains.

The absence of cross-contamination was ensured through phylogenetic analysis of the obtained sequences. Phylogenetic trees were generated using GTR Model of substitution with both NJ and Maximum Likelihood (ML) tree building methods. The best fitting nucleotide substitution model was tested with the Hierarchical Likelihood Ratio Test (HLRT) implemented in the Model Test V3.0 software (Posada and Crandall, 1998). The statistical robustness within each phylogenetic tree was confirmed with a

bootstrap analysis using 1000 replicates for the Neighbor-Joining (NJ) tree. All calculations were performed with PAUP\* 4.0b10 (http://paup.csit.fsu.edu/about.html) software. Gp120- and gp41-sequences have been submitted to GenBank (accession numbers: HQ678249–HQ678297).

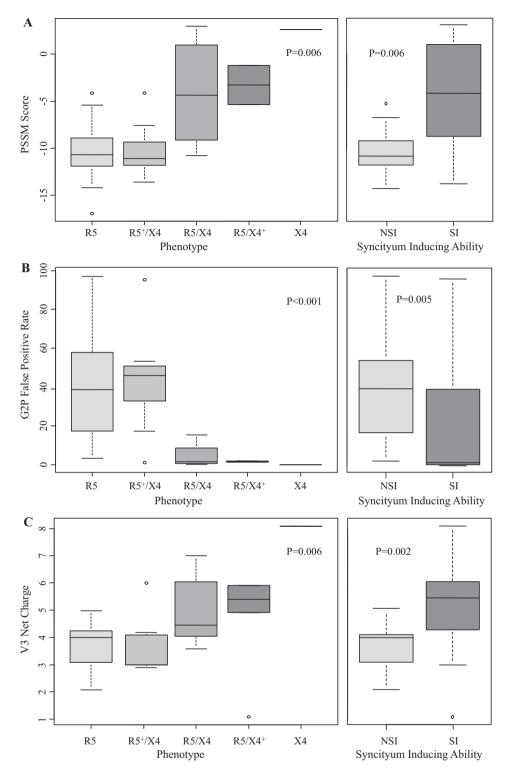
# 2.7. V3 loop massively parallel sequencing

Ultra-deep pyrosequencing (UDPS) was carried out with the 454 Life-Sciences platform (GS-FLX, Roche Applied-Science) on 17 isolates phenotypically characterized on U87MG-cells following previously published procedure (Parameswaran et al., 2007; Polz and Cavanaugh, 1998; Vandenbroucke et al., 2008, 2010). Briefly, viral RNA was extracted from 1 ml of viral isolate (BioRobot MDx, Qiagen, Hilden, Germany), and reversely transcribed to cDNA. The V3 loop region was amplified in a nested PCR using barcoded primers (HXB2 positions: forward primer 6986-7012, reverse primer 7520-7540). Addition of barcode sequences to the primers allowed the simultaneous processing of amplicons originating from multiple individuals, enlarging the number of reads obtained per sequencing experiment. To maximize the number of input templates and to minimize variation due to PCR drift, 7 parallel RT-PCR reactions were performed. Barcoded amplicons were equimolar pooled and sequenced on the GS-FLX instrument according to manufacturer's amplicon sequencing protocol (454 Life Sciences, Roche Applied

The frequency and distribution of errors introduced during V3 amplification and GS-FLX sequencing was assessed by analyzing data from amplicon sequencing of 2 plasmids in duplicate as previously reported (REF). Briefly, all detected changes relative to the published plasmid sequence were considered to be technical artefacts. An amplicon (in duplicate) covering the V3-region of HIV was created from plasmid pHXB2 [GenBank:K03455] and pYK-[RSCF [GenBank: AY426126]. V3 amplicons were sequenced using the Standard GS-FLX amplicon sequencing protocol. The error rate was defined as the number of errors divided by the total number of expected bases. Homopolymeric regions were defined as regions containing repeats of three or more identical bases and the flanking non-identical bases. Data were retrieved from the Amplicon Variant Analysis software (Roche) with an average coverage of 20.000 reads per position. An overall error rate of 0.10% and 0.08% was obtained for pHXB2 and pYK-JRCSF, respectively. In addition, the error rate was elevated in homopolymeric regions: 0.13% vs. 0.07% in non-homopolymeric regions. Among the observed errors, deletions ( $\sim$ 40%) and insertions ( $\sim$ 33%) were the most frequent error types, followed by substitutions (~26%). The maximum substitution error rate per base position was 0.13%, transitions from A to G were most common, but also T to C, G to C, and C to G were frequently seen. A similarity was noted in frequency errors between duplicates, whereas differences between the two plasmids could be explained by sequence context. Therefore, mutations were accepted as real variants when present at a frequency above 0.5% of the total number of reads. In cases when the total number of reads was falling below 5000, a fixed cut-off of 50 reads was used.

# 2.8. Computational methods

The gp120-derived V3-fragment was used to infer viral tropism using PSSM (set at a cutoff of -5.96) and geno2pheno (set at a false positive rate [FPR] of 10%) algorithms. N-glycosylation was predicted using the algorithm available at http://www.hiv.lanl.gov/content/sequence/GLYCOSITE/glycosite. html, while the V3 net-charge was calculated using the algorithm available at http://www.innovagen.se/custom-



**Fig. 1.** Box plots showing the distribution of (A) PSSM scores, (B) Geno2pheno false positive rate, and (C) V3 net-charge, in relation to phenotypically assessed viral tropism and syncytium-inducing ability. *P*-values were calculated by Kruskal–Wallis one-way analysis of variance by ranks test.

peptide-synthesis/peptide-property-calculator/peptide-property-calculator.asp. This algorithm includes all the amino acids (arginine, aspartic acid, cysteine, glutamic acid, histidine, lysine, and tyrosine) generally recognized to contribute to the net charge of a specific peptide or protein Such algorithm has been also used to determine V3 net charge in other papers such as in Clevestig et al. (2006).

V3 sequences carrying nucleotide mixtures were translated into all possible amino acid permutations. For each combination, viral tropism and net charge have been determined. The isolate was defined as CXCR4-using if at least one combination showed an X4 predicted tropism. The final value of the V3 net-charge in presence of sequences' ambiguities was calculated as the median of the all possible values for each expanded sequence.

**Table 1**Patients characteristics.

Characteristics	
Patients, N	71
Patients with HIV-RNA <50 copies/ml, N (%)	65 (91.6)
CD4 cell count (cells/µl), median[IQR]	801[636-959]
CD4 cell percentage (%), median[IQR]	34.3[28.8-39.6]
Year from first positivity, median[IQR]	8[6.8-14.5]
Therapy length (months), median[IQR]	48.5[24.8-74]

IQR, interquartile range.

# 2.9. Statistical analysis

Kruskal–Wallis test (a non-parametric rank-based method) was used to assess statistically significant differences in the median PSSM-score, geno2pheno FPR, and V3 net-charge among viral isolates with different phenotypically assessed tropism and syncytium-inducing ability. Statistically significant differences in the prevalence of gp120-mutations among the different groups of isolates were assessed by Fisher-exact test. Mann–Whitney test was used to compare p24 production in U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> cells and U87MG-CD4<sup>+</sup>/CXCR4<sup>+</sup> cells.

#### 3. Results

# 3.1. Phenotypic characterization of primary HIV-1 isolates

This study included 71 primary viral-isolates obtained from 71 HAART-treated patients. Their characteristics are reported in Table 1. These isolates were used to infect both U87MG-CD4 $^+$ /CCR5 $^+$  and U87MG-CD4 $^+$ /CXCR4 $^+$ cells. Viral infection was evaluated by p24-quantification in culture supernatants. Tropism of 54 out of 71 (76.1%) isolates was successfully characterized in indicator-cells. For the remaining 17 isolates, whose initial TCID50 were very low, viral-replication was not observed either in U87MG-CD4 $^+$ /CCR5 $^+$  cells or in U87MG-CD4 $^+$ /CXCR4 $^+$ cells.

Thirty-one (57.4%) out of 54 isolates replicated only in U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> cells, thus showing a pure R5-tropism, while 1 isolate (1.8%) replicated only in U87MG-CD4<sup>+</sup>/CXCR4<sup>+</sup> cells, showing a pure X4-tropism. The remaining 22 (40.7%) isolates replicated in both cell-lines, and thus were defined as D/M-tropic (Table 2 ). These isolates were further categorized according to the different p24 production observed in CCR5-expressing or CXCR4-expressing cells. In particular, 8 isolates replicated with the same efficiency in both cell-lines, showing a p24 production within the same range in the supernatants of either U87MG-CD4+/CCR5+ or U87MG- $CD4^{+}/CXCR4^{+}$  cells (P = 0.625) (Table 2). These isolates were defined as R5/X4-tropic viruses. For other 12 isolates, p24 production was far higher in U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> cells (median p24 production >20,000 pg/ml), than in U87MG-CD4<sup>+</sup>/CXCR4<sup>+</sup> cells (median p24 production = 49.6 pg/ml; IQR = 29.9-139.9) (P=0.006); they were then defined as R5+/X4-tropic viruses. For the last 2 isolates, p24 production was higher in U87MG-CD4+/CXCR4+ cells (p24 production around 2000 pg/ml for both isolates), than in U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> cells (p24 production = 27.5 and 94.1 pg/ml, respectively) and they were defined as R5/X4<sup>+</sup>-tropic viruses. Thus, these results show a preferential co-receptor usage in a considerable fraction of D/M-tropic strains (14/22, 63.6%) (Table 2).

For 47 out of 54 isolates (87%), it was possible to analyze the ability of inducing syncytia in MT2-cells. Among 24 pure R5-tropic isolates analyzed, a syncytium-inducing phenotype was observed only in 1 isolate (4.2%). The percentage of syncytia-inducing isolates progressively increased in R5 $^+$ /X4 (4/12 isolates, 33%), and R5/X4 isolates (4/8 isolates, 50%) ( $P_{\rm trend}$  = 0.012). A syncytium-inducing

phenotype was then observed in both R5/X4\*-tropic isolates available, as well as in the pure X4-tropic isolate (Table 2).

# 3.2. Characterization of the env-gene obtained from population based sequencing

The full-length gp120 and gp41 were successfully sequenced for 49 (gp120) and 36 (gp41) out of 54 (90.7% and 67%, respectively) primary isolates phenotypically characterized for viral tropism in indicator cells. Genotypic prediction of viral tropism using geno2pheno (set at FPR = 10%) and PSSM algorithms (set at -5.96 cut-off) was 89.1% concordant with phenotypic tests performed on U87MG-cells. Geno2pheno and PSSM algorithms were 90.7% each other concordant (Table 2).

A detailed analysis showed that PSSM scores and geno2pheno FPRs varied according to CCR5-usage potential. Indeed, we found a very similar PSSM low-score in pure R5- and R5 $^+$ /X4-isolates (median[IQR] = -10.7[-11.9;-9.1] and -11.1[-11.8;-9.3], respectively), while the highest PSSM values were observed for the pure X4-tropic isolate (+2.6); dual R5/X4 and R5/X4 $^+$  isolates showed a similarly high PSSM scores (median[IQR] = -4.4[-9.1;-0.9] and -3.3[-4.3;-2.2], respectively; P=0.006) (Fig. 1A). A similar correlation was observed for the geno2pheno-FPR (Fig. 1B). Indeed, higher FPRs were observed for the pure R5- and R5 $^+$ /X4-isolates (median[IQR] = 38.9[17.9-55.8] and 46.0[32.8-50.8], respectively), while lower FPRs were associated with R5/X4 and R5/X4 $^+$  isolates (median[IQR] = 3.4[1.0-14.9] and 1.5[1.3-1.6], respectively) and the pure X4 isolate (0.1) (P<0.001).

A correlation was also found between the V3 net-charge and CCR5-usage (Fig. 1C and Table 2). V3 net-charges were the lowest for pure-R5, and R5 $^+$ /X4 isolates (median[IQR]=4.0[3.1–4.2] and 3.0[3.0–4.1], respectively), while progressively increased in R5/X4, R5/X4 $^+$  isolates (median[IQR]=4.5[4.1–6.0] and 5.4[5.2–5.7], respectively), and in the pure-X4 isolate (8.1) (P<0.01).

Similarly, the PSSM scores, geno2pheno FPR and V3 net-charge were also associated with the syncytium-inducing ability in MT2-cells (P < 0.01) (Fig. 1).

The analysis of positions 11, 24, and 25 (known to be important for co-receptor binding) revealed the presence of negative/neutral charges at positions 11, 24, 25 in 24/28 (85.7%) pure-R5 isolates, and in 15/20 (75.0%) D/M isolates (11/11 for R5 $^+$ /X4, 4/7 R5/X4, 0/2 R5/X4 $^+$ ) (Table 3).

Taken together, results suggest a substantial homology between pure R5- and R5+/X4-isolates, at both genotypic and phenotypic level of analysis.

To better characterize D/M-tropic isolates, gp120- and gp41-genotypic analysis was also performed. Specific gp120 variations, localized outside the V3 loop, as well as gp41 mutations significantly correlated with different co-receptor usage and syncytium-inducing ability. In particular, Y33H in gp120 and L244I in gp41 were found statistically associated with both D/M-phenotype and syncytium-inducing ability (Table 4).

# 3.3. Characterization of V3-loop by UDPS

Six pure-R5 isolates, the single pure-X4 isolate, and 10 randomly selected D/M isolates (7 R5 $^+$ /X4, 1 R5/X4, and 2 R5/X4 $^+$ ) were analyzed by V3 UDPS (Fig. 2)

All 6 pure-R5 isolates and 5 out of 7 (71.4%) R5<sup>+</sup>/X4 isolates analyzed were composed by a swarm of distinct species, all (100%) with a preference for CCR5 co-receptor, and associated with a narrow range of highly-negative PSSM scores (median[IQR] = -11.9[-12.7;-10.9], and -10.5[-11.9;-8.6], respectively) (Fig. 2). Two phenotypically R5<sup>+</sup>/X4 isolates were composed by heterogeneous tropism quasispecies composition: 1 isolate (A32Sp) was represented by both R5- and X4-using viruses

Table 2 Phenotypic and genotypic characteristics of viral isolates.

Isolate	Phenotype <sup>a</sup>	Phenotypic characterist	ics				Genotypic characteristics			
		Syncytia inducing ability <sup>b</sup>	P24 level in U87MG CD4 <sup>+</sup> /CCR5 <sup>+</sup> (pg/ml) <sup>c</sup>	P24 level in U87MG CD4 <sup>+</sup> /CXCR4 <sup>+</sup> (pg/ml) <sup>c</sup>	Net charge <sup>d</sup>	Prediction by G2P <sup>e</sup>	G2P false positive rate	Prediction by PSSM <sup>f</sup>	PSSM score	Glycosylation Sites <sup>g</sup>
A48Sp	R5	NSI	>200	_	3.09	R5	42.6	R5	-12.909	+
RS	R5	NSI	34.2	-	5.00	R5	44.2	R5	-10.675	+
MAVu	R5	NSI	36.6	_	4.09	R5	44.2	R5	-12.151	+
FVSp	R5	NSI	40.2	_	3.91	R5	39.6	R5	-8.486	+
BN12	R5	_	25.1	_	3.09	R5	45.1	R5	-9.302	+
CESp	R5	NSI	>200	_	4.00	R5	75.6	R5	-10.8725	+
CFVu	R5	NSI	44.2	_	3.50	R5	78.8	R5	-10.624	+
CM1	R5	NSI	>200	_	Sequence not a	vailable				
DAGVu	R5	NSI	81.5	_	4.00	R5	96.7	R5	-10.225	+
DC	R5	_	>200	_	3.09	R5	97.7	R5	-10.697	+
FCVu	R5	NSI	23	_	4.59	R5	16.6	R5	-6.71	+
A75Sp	R5	NSI	>200	_	4.09	X4	4.2	R5	-10.58	+
FOTVu	R5	NSI	124	-	5.00	R5	10.6	R5	-8.247	+
GCSp	R5	NSI	>200	_	4.00	R5	30.1	R5	-11.784	+
GRSp	R5	NSI	>200	-	3.09	R5	18.3	R5	-10.286	+
A245Sp	R5	NSI	>200	_	4.00	X4	2.1	R5	-11.055	+
LM	R5	_	>200	_	4.09	R5	35.6	R5	-11.755	+
LPSp	R5	NSI	>200	_	4.09	R5	33.7	R5	-12.05	+
CA4	R5	-	39.4	_	3.00	X4	1.7	X4	-5.652	+
MGVu	R5	NSI	30.8	_	4.50	R5	53.8	R5	-9.346	+
BC	R5	NSI	>200	_	2.91	R5	63.1	X4	-4.991	+
MOI4	R5	NSI	>200	_	Sequence not a		05.1	74.1	1.551	
PASp	R5	SI	>200		4.45	R5	33.2	X4	-4.166	+
SA	R5	NSI	>200		2.09	R5	61.9	R5	-13.338	+
SASp	R5	NSI	>200	_	3.50	R5	38.1	R5	-13.336 -12.195	+
SG	R5	-	>200	_	4.91	R5	28.8	R5	-12.193 -8.278	+
SNVu	R5	NSI	>200	_	4.00	R5	14.4	R5	-8.278 -11.608	+
TL	R5	-	>200	_	4.41	X4	2.8	X4	-11.608 -3.829	+
TM	R5	NSI	>200	_	2.64	R5	49	R5	-3.829 -14.106	+
	R5			_		R5				
TS3		- NCI	>200	_	3.09		18.9	R5	-11.498	+
18MM	R5	NSI	>200	1250	Sequence not a			37.4	4 205	
A32Sp	R5+/X4	SI	>20,000	1358	6.00	X4	1.1	X4	-4.385	+
ACVu	R5 <sup>+</sup> /X4	SI	>20,000	119.8	3.00	R5	47.8	R5	-13.61	+
AMVu	R5+/X4	NSI	>20,000	105.9	3.00	R5	53.5	R5	-8.541	+
CLVu	R5+/X4	SI	>20,000	47.2	4.09	R5	44.5	R5	-11.992	+
CRSp	R5+/X4	NSI	>20,000	1020	4.18	R5	16.9	R5	-10.989	+
DVAVu	R5+/X4	SI	>20,000	45.9	1.09	R5	95.2	R5	-12.677	+
MMSp	R5+/X4	NSI	15,270	23.0	3.50	R5	38.3	R5	-7.551	+
ND	R5+/X4	NSI	>20,000	200	2.91	R5	46	R5	-11.682	+
PLVu	R5 <sup>+</sup> /X4	NSI	>20,000	43.5	3.00	R5	53.5	R5	-11.072	+
RSVu	R5+/X4	NSI	>20,000	19.7	3.00	R5	27.2	R5	-10.117	+
VASp	R5+/X4	NSI	5606	31.4	4.09	R5	48	R5	-11.515	+
PM	R5+/X4	NSI	>20,000	25.1	Sequence not a					
A185Sp	R5/X4	SI	76.6	120.5	6.00	X4	0.2	X4	2.283	_
BMVu	R5/X4	NSI	>20,000	>20,000	Sequence not a	vailable				
FMVu	R5/X4	NSI	18.5	18.0	4.00	R5	12.1	R5	-9.144	+
PA	R5/X4	SI	800	620	7.00	X4	0.4	X4	-4.159	+
SFVu	R5/X4	NSI	13.1	13.7	3.59	X4	5	R5	-10.816	+
SPVu	R5/X4	SI	>20,000	>20,000	4.50	X4	1.7	X4	-0.3915	+
VCSP	R5/X4	NSI	25.4	21.3	4.10	R5	15.8	R5	-9.113	+
VRSp	R5/X4	SI	40.6	39.8	6.09	X4	0.2	X4	2.973	_
A126Sp	R5/X4+	SI	27.5	1792	4.91	X4	1.1	X4	-1.209	+
BCSp	R5/X4+	SI	94.1	1999	5.91	X4	1.8	X4	-5.341	+
DPMVu	X4	SI	_	>200	8.09	X4	0.1	X4	2.613	_
Control st										
BaL	R5	_	>200	_	4.00	R5	24.7	R5	-12.347	+

 <sup>&</sup>lt;sup>a</sup> HIV-1 tropism was assessed through multiple cycles of replication test in U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> and U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> cells.
 <sup>b</sup> Syncytia inducing ability was determined through culture of viral stock supernatant containing 100 TCID<sub>50</sub> in MT-2 cells. Syncytia formation was defined as at least 10 multinucleated giant cells in five high-power fields after 4-weeks culture.

Lines (-) indicate p24 Ag < 12.5 pg/ml. The immunoassay's lower and upper limits for the quantification of p24 production are 12.5 pg/ml and 200 pg/ml, respectively. In selected experiments, samples have been diluted and p24 further quantified up to 20,000 pg/ml.

d V3 net charge was calculated through Innovagen's Peptide property calculator (http://www.innovagen.se/custom-peptide-synthesis/peptide-propertycalculator/peptide-property-calculator.asp).

e Geno2pheno (G2P) prediction algorithm is available at http://coreceptor.bioinf.mpi-inf.mpg.de/. False positive prediction rate limit was fixed at 10%.

f PSSM prediction algorithm is available at http://fortinbras.us/cgi-bin/fssm/fssm.pl#avg.

8 N-glycosylation was predicted using the glycosite tool (http://www.hiv.lanl.gov/content/sequence/GLYCOSITE/glycosite.html).

**Table 3** V3 sequences alignment.

Consensus Sequence	Subtype	Phenotype	e <sup>a</sup> PSSM/G2 tropism predictio		1	2	3	4	5	6	7	8	9	10	1	1	12	13	14	ļ	15	16	AA numbe
			predictio		C	T	R	P	N	N	N	T	R	K	S		I	Н	I		G	P	
A48Sp	В	R5	R5/R5																				35
RS	В	R5	R5/R5																		A		35
MAVu	В	R5	R5/R5			I																	35
FVSp	В	R5	R5/R5															P	M				34
BN12	В	R5	R5/R5		•	•	•	•		•		•	S	Q					•				35
CESp	В	R5	R5/R5		•				S				•					P					35
CFVu	В	R5	R5/R5		•	I	•	•	•	•		•		D				P	M			•	34
DAGVu	В	R5	R5/R5		•	s S	•		•	•		•		R				P	M				35
DC FCVu	B B	R5 R5	R5/R5 R5/R5		•	3	•	•	S	•		•	•	•	G		•	:	M		A		35 35
A75Sp	В	R5	R5/X4		•		•	•	3				•	•	G		•		M				35 35
FOTVu	В	R5	R5/R5			ī	Ċ											S					35
GCSp	В	R5	R5/R5						S				S					P					35
GRSp	В	R5	R5/R5												G								35
A245Sp	В	R5	R5/X4												R			N	L				35
LM	В	R5	R5/R5																M				35
LPSp	В	R5	R5/R5																				35
CA4	В	R5	X4/X4												S	/R		T					34
MGVu	В	R5	R5/R5															S	F				35
BC	AG	R5	X4/R5			I								R			V	R					35
PASp	В	R5	R5/R5					L										R					34
SA	В	R5	R5/R5																M				35
SASp	В	R5	R5/R5			I												N					35
SG	В	R5	R5/R5															P	M				35
SNVu	В	R5	R5/R5			I			G									S					35
TL	В	R5	R5/X4															R				R	37
TM	В	R5	R5/R5			I	•		G												A		35
TS3	В	R5	R5/R5				•		S					R					M				34
A32Sp	В	R5 <sup>+</sup> /X4	X4/X4											R	R			S	M				35
ACVu	В	R5+/X4	R5/R5		•		•		•				•					N	M				35
AMVu	В	R5+/X4	R5/R5			•	•	•	•	•		•	•			G	•	•	F				35
CLVu	В	R5+/X4	R5/R5		•	•	•	•	•	•		•			S	G		•	•			•	35
CRSp	В	R5+/X4	R5/R5				•					•	•	D	•								35
DVAVu	AG	R5+/X4	R5/R5			•	•			•		•		R				т	F				35
MMSp	В	R5+/X4	R5/R5		•	•	•	•	G	•			•					T T	_		•	•	35
ND PLVu	B B	R5 <sup>+</sup> /X4 R5 <sup>+</sup> /X4	R5/R5 R5/R5		•	S	•	•	•	•		•	•	•			•	1	M				35 35
RSVu	В	R5 /X4 R5+/X4	R5/R5		•	3	•	•	•	•		•	•	•				T	•		•	•	34
VASp	В	R5 /X4 R5+/X4	R5/R5				Ċ		S					R	G								35
A185Sp	В	R5/X4	X4/X4							R			K	R	R								36
FMVu	В	R5/X4	R5/R5												G				M				35
PA	В	R5/X4	X4/X4						G				S					R				Α	34
SFVu	В	R5/X4	R5/X4			M													M				35
SPVu	В	R5/X4	X4/X4			M			S				S		G			R					35
VCSP	В	R5/X4	R5/R5			E	R																35
VRSp	В	R5/X4	X4/X4							Α	I			G	R								34
A126Sp	В	R5/X4+	X4/X4			I								R	R			T	M				35
BCSp	В	R5/X4+	X4/X4											R				S					34
DPMVu	В	X4	X4/X4							T	K				R								34
Consensus Sequence	Subtype	Phenotype <sup>a</sup>	PSSM/G2P tropism prediction <sup>b</sup>	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	AA numbe
				G	R	Α	F	Y	T	T	G	E	I	I	G	D	I	R	Q	Α	Н	C	
A48Sp	В	R5	R5/R5						Α														35
RS	В	R5	R5/R5						Α			Α							K		Y		35
MAVu	В	R5	R5/R5						Α							N							35
FVSp	В	R5	R5/R5		K			F			D	-	٠	٠		E	٠		R		Y		34
BN12	В	R5	R5/R5		R	•			Α			R											35
CESp	В	R5	R5/R5	٠		•			Α			D			•	٠			K	٠	•		35
CFVu	В	R5	R5/R5		K	•	•	F	•		-	D/N	•	•	•		•		•		٠	•	34
DAGVu	В	R5	R5/R5	S	K		•	•	Α	•		D	•	٠		N	٠	•	٠		•	•	35
DC FCV	В	R5	R5/R5		٠	T	•	•	A	•	Т	D D/N	•			٠	٠	•			•	•	35
FCVu	В	R5	R5/R5			•	•	•	Α		T	D/N	•	Α		٠	٠	•	K		•	•	35
A75Sp	В	R5	R5/X4		G		•		•	R		D	•	٠			٠	•	K		•	•	35
FOTVu	В	R5	R5/R5		٠	•	•	V		•		Q	•	٠		N	٠	•	٠		•	•	35
GCSp	В	R5	R5/R5		٠		•	•	A	•		R	•	٠		٠	٠	•	٠		•	•	35
GRSp	В	R5	R5/R5			V	•	•	A	•		E	•	٠		٠	٠	•	٠		•	•	35
A245Sp	В	R5	R5/X4		K	T	•	•	Α	•			•	٠		٠	٠	•	٠		•	•	35
	В	R5	R5/R5						Α			Q											35
LM LPSp	В	R5	R5/R5						Α										K				35

Table 3 (Continued)

Consensus Sequence	Subtype	Phenotype <sup>a</sup>	PSSM/G2P tropism prediction <sup>b</sup>	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	AA number
			•	G	R	Α	F	Y	T	T	G	Е	I	I	G	D	I	R	Q	Α	Н	C	
CA4	В	R5	X4/X4		K	V			Α			D											34
MGVu	В	R5	R5/R5		Q							D/E							K				35
BC	AG	R5	X4/R5		Q	T			Α			D							K		Y		35
PASp	В	R5	R5/R5		S	T				S	-	L/N									Y		34
SA	В	R5	R5/R5		G				Α			D											35
SASp	В	R5	R5/R5						Α			D							R				35
SG	В	R5	R5/R5									Q							K		Y		35
SNVu	В	R5	R5/R5						Α			G											35
TL	В	R5	R5/X4						Α	P									K		Y		37
TM	В	R5	R5/R5						Α			D											35
TS3	В	R5	R5/R5		K		Y				D	_											34
A32Sp	В	R5+/X4	X4/X4				Y	V				Q				N							35
ACVu	В	R5+/X4	R5/R5						Α				V										35
AMVu	В	R5+/X4	R5/R5		Q		W					Q									Y		35
CLVu	В	R5+/X4	R5/R5						Α							N							35
CRSp	В	R5+/X4	R5/R5					Н	Α			Α											35
DVÁVu	AG	R5+/X4	R5/R5		Q				Α			D											35
MMSp	В	R5+/X4	R5/R5					F				D							N				35
ND	В	R5+/X4	R5/R5						Α												Y		35
PLVu	В	R5+/X4	R5/R5		K				Α												Y		35
RSVu	В	R5+/X4	R5/R5						Α		D	_											34
VASp	В	R5+/X4	R5/R5						Α			Α		T									35
A185Sp	В	R5/X4	X4/X4			S			G	Α			V	T					R		Y		36
FMVu	В	R5/X4	R5/R5		K				Α		T	D							K		Y		35
PA	В	R5/X4	X4/X4	R	K	_			Α	R	E	R					T		R				34
SFVu	В	R5/X4	R5/X4									D				N							35
SPVu	В	R5/X4	X4/X4				V		Α	Α	D/E	K											35
VCSP	В	R5/X4	R5/R5								. '	Α							K				35
VRSp	В	R5/X4	X4/X4					Н	Α		_	K	T		K						Y		34
A126Sp	В	R5/X4 <sup>+</sup>	X4/X4			V	L												K		Y		35
BCSp	В	R5/X4 <sup>+</sup>	X4/X4					_			R	Q							K		Y		34
DPMVu	В	X4	X4/X4								K	_	T	V	R								34

<sup>&</sup>lt;sup>a</sup> HIV-1 tropism was assessed through multiple cycles of replication test in U87MG-CD4<sup>+</sup>/CCR5<sup>+</sup> and U87MG-CD4<sup>+</sup>/CXCR4<sup>+</sup> cells.

(724 R5-sequences, 17.5% prevalence; and 3584 X4-sequences, 82.5% prevalence confirming the X4-prediction obtained by the standard-genotype for such sample, see Table 2), while the other isolate (RSV) showed the majority of species as R5 (10,421 R5-sequences, 99.6% prevalence) and few X4-using minority species (94 X4-sequences, 0.4% prevalence). Only R5-using species were

found in the phenotypically R5/X4 isolate (SFVu) analyzed (PSSM score range: -11.2; -9.15).

In parallel, only X4-using species were observed in the pure-X4 isolate (median[IQR] PSSM-score = 2.6[1.9; 3.4]). Both R5/X4+ isolates were composed by only (A126Sp) or mostly (BCSp, 96.3%) of X4-using viruses (median[IQR] PSSM-score = -2.0[-2.3; -1.6], and

**Table 4**Mutations in gp120 and gp41 significantly associated with tropism and/or syncytium inducing ability.

Domain		Association	Non-syncytium inducing ( <i>N</i> = 34)	Syncytium inducing ( <i>N</i> = 12)	Pure R5 phenotype (N=24)	Dual/Mixed (D/M) phenotype (N=20)	Pure X4 phenotype (N=1)	P-value NSI vs. SI <sup>a</sup>	<i>P</i> -value R5 vs. D/M <sup>b</sup>
gp120 muta	ations								
K5N	C1	R5	6(17.6)	1(8.3)	9(37.5)	1(5.0)	0(0)	NS	0.012
Y33H	C1	D/M-SI	1(2.9)	3(25.0)	0(0)	4(20.0)	0(0)	0.049	0.036
T206K	C2c	SI	0(0)	3(25.0)	0(0)	3(15.0)	0(0)	0.024	NS
T206S	C2c	SI	0(0)	3(25.0)	0(0)	3(15.0)	0(0)	0.024	NS
R304G	C3 <sup>d</sup>	SI	4(20.0)	7(70.0)	4(16.7)	8(40.0)	0(0)	0.015	NS
I339V	C3 <sup>d</sup>	R5	1(5.0)	1(10.0)	5(31.2)	0(0)	0(0)	NS	0.007
gp41 mutat	ion <sup>e</sup>								
M7L		NSI	15(65.2)	2(22.2)	9(50.0)	9(50.0)	_	0.049	NS
N140I		SI	1(4.3)	5 (55.6)	3(16.7)	4(22.2)	_	0.003	NS
L244I		D/M-SI	8(34.8)	8(88.9)	5(27.8)	13(72.2)	_	0.016	0.018

<sup>&</sup>lt;sup>a</sup> Mutations with a significantly different prevalence among non-syncytium inducing (NSI) and syncytium inducing (SI) viral strains. *P*-values were calculated through Fisher exact test and were considered as significative when <0.05 after Benjamin–Hockberg correction.

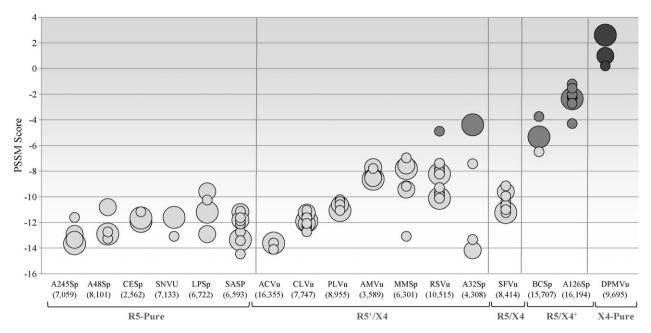
<sup>&</sup>lt;sup>b</sup> Geno2pheno (G2P) prediction algorithm is available at http://coreceptor.bioinf.mpi-inf.mpg.de/. False positive prediction rate limit was fixed at 10%. PSSM prediction algorithm is available at http://fortinbras.us/cgi-bin/fssm/fssm.pl#avg.

b Mutations with a significantly different prevalence among pure R5-tropic (R5) and dual/mixed-tropic (D/M) viral strains. P-values were calculated through Fisher exact test and were considered as significative when <0.05 after Benjamin–Hockberg correction.

<sup>&</sup>lt;sup>c</sup> The prevalence of mutations was calculated on 20 NSI and 10 SI, 16 R5-tropic and 17 dual/mixed-tropic isolates

<sup>&</sup>lt;sup>d</sup> The prevalence of mutations was calculated on 27 NSI and 12 SI strains.

e The prevalence of mutations was calculated on 23 NSI and 9 SI strains, 18 R5-tropic and 18 dual/mixed-tropic isolates. GP41 sequence of X4-tropic isolate was not available.



**Fig. 2.** Distribution of the PSSM scores within each dual-tropic HIV-1 primary isolates. V3 sequences were obtained by pyrosequencing. Light grey circles are the V3 sequences that are predicted as R5-using by the PSSM algorithm, while mid-grey are the sequences predicted as X4-using. Dark grey circle circles represent V3 sequences that have lost the N-glycosylation site. Larger circles represent the predominant consensus sequence with prevalence >20%; medium circles represent sequences with prevalence ranging from 5 to 20%, while smaller circles represent sequences with low prevalence (<5%). The total number of sequences obtained for each sample is also shown.

-4.3[-5.1;-3.9], respectively), confirming the standard-genotype results (Fig. 2).

Thus, UDPS analyses also show similar genotypic characteristics between pure R5- and R5<sup>+</sup>/X4-isolates.

# 3.4. Evaluation of in vitro maraviroc selection of X4-tropic viruses

A further step of our study was to evaluate the in vitro emergence of X4-tropic strains from R5, R5<sup>+</sup>/X4 and R5/X4<sup>+</sup> isolates as a potential consequence of the positive selective pressure imposed by maraviroc treatment. For this purpose, we selected 7 R5<sup>+</sup>/X4, 1 R5/X4<sup>+</sup> and 2 pure-R5 isolates (all analyzed also by V3 ultra-deep pyrosequencing) to infect PBMCs. HIVBaL, HIVIIIB and the pure-X4 isolate were used as controls. In the absence of drug-pressure, viral replication was detectable up to 35 days in all viral isolates. Viral replication was also observed up to the seventh co-culture (2 months) in 6 out of 10 viral-isolates (Table 5). As expected, AZT (1 μM) fully inhibited (>99%) the replication of all tested viruses. Also maraviroc (at both 40 nM and 200 nM) fully inhibited not only the replication of the 2 pure-R5 isolates and the pure-R5 laboratorystrain HIV<sub>BaL</sub>, but also of all R5<sup>+</sup>/X4-strains tested in this assay, independently if they were syncytium-inducing in MT2-cells or not. Thus, no emergence of X4-tropic variants was observed during in vitro maraviroc selection experiments on PBMCs, even in those isolates showing the presence of X4-using species by UDPS (RSVu, 0.4% X4, and A32Sp, 82.5% X4) and in the isolate (BCSp) with a preferential use for CXCR4 coreceptor (Table 5). By contrast, the replication of the pure-X4 laboratory-strain HIV<sub>IIIB</sub>, and of the pure-X4 isolate, was not at all inhibited by maraviroc at any concentration tested.

# 4. Discussion

This study highlights the existence of different types of D/M-tropic viruses, with a preferential co-receptor usage in a considerable rate of clinical isolates, which is largely underestimated by the tropism determination assays currently used in clinical practice. Using primary HIV-1 isolates in multiple cycles phenotypic assay (and not using env pseudoviruses in a single-cycle assay, as

generally described in this type of studies), we showed that R5<sup>+</sup>/X4 viruses (with a preference for CCR5) are similar both genotypically and phenotypically to pure-R5 viruses, while R5/X4<sup>+</sup> viruses (with a preference for CXCR4) are similar (at least genetically) to the pure-X4 virus. This finding was also supported by UDPS, showing the presence of a swarm of genetically distinct species with extensive preference for CCR5-coreceptor in nearly all strains otherwise generally defined as D/M tropic. Similarly, in D/M tropic isolates with preference for CXCR4, we observed extensive prevalence of X4-using species. This fully supports the concept that the dual-tropic viruses represent a quite heterogeneous population, in which dual-R5 mostly behave as R5-viruses, and dual-X4 are closely related to X4-viruses.

Moreover, under maraviroc pressure, there was a full inhibition of viral replication and no selection (up to 2 months of culture) of X4-viruses in R5<sup>+</sup>/X4-tropic isolates, thus confirming that such dual-tropic viruses with R5 preference mostly behave as pure R5. This finding can have important clinical implications for an optimized use of CCR5 antagonists in clinical practice.

In this regard, we found that maraviroc at concentrations of 200 nM and 40 nM (the latter close to the minimal concentration [Cmin] achieved in the plasma of patients treated with common maraviroc dosage of 300 mg) inhibited in vitro the replication of R5<sup>+</sup>/X4-isolates, including those with X4-tropic viruses present as minor (RSVu) or even major species (A32Sp). Although this result requires further investigation, it is consistent with recent studies (Fransen et al., 2008; Symons et al., 2011; Toma et al., 2010). In particular, Toma et al. (2010), in a single-cycle replication assay, showed that R5<sup>+</sup>/X4 viruses were efficiently inhibited by a Merck CCR5-antagonist, but not by AMD3100 (a CXCR4antagonist), whereas R5/X4+ viruses were efficiently inhibited by the CXCR4-antagonist AMD-3100, but not by the CCR5-antagonist. Conversely, dual-tropic viruses that use the co-receptor with the same efficiency were not or only partially inhibited, by either CCR5or the CXCR4-antagonist alone (Toma et al., 2010). It should be noted that this study has been performed with pseudotypic viruses (not clinical isolates), exposed to co-receptor antagonists not used in clinical practice. For this reason, the confirmation of these results

**Table 5**No viral breakthrough in co-cultures of PBMC infected with dual-tropic isolates and treated with maraviroc.

Isolate	Phenotype <sup>a</sup>	Treatments	IV co-culture <sup>b</sup>	VII co-culture			
			Replicating virus <sup>c</sup>				
		None	+++	+++			
CEC	n.c	Maraviroc 200 nM	_	_			
ESp	R5	Maraviroc 40 nM	_	_			
		AZT 1 μM	_	_			
		None	+++	+++			
		Maraviroc 200 nM	_	_			
ASp	R5	Maraviroc 40 nM	_	_			
		AZT 1 μM	_	_			
		None	+++	+++			
	DE+18/4	Maraviroc 200 nM	_	_			
\32Sp	R5 <sup>+</sup> /X4	Maraviroc 40 nM	_	_			
		AZT 1 μM	_	_			
		None	+++	++			
		Maraviroc 200 nM	_	_			
ACV	R5 <sup>+</sup> /X4	Maraviroc 40 nM	_	_			
		AZT 1 μM	_	_			
		None	+++	+++			
		Maraviroc 200 nM	_	_			
MV	R5+/X4	Maraviroc 40 nM	_	_			
		AZT 1 µM	_	_			
		None	+	_			
		Maraviroc 200 nM	_	NA			
LVu	R5 <sup>+</sup> /X4	Maraviroc 40 nM	_	NA			
		AZT 1 μM		NA			
		None	++	++			
1MSp	R5+/X4	Maraviroc 200 nM	_	_			
viiviop	K5 /A4	AZT 1 μM	_	_			
		None	++	_			
		Maraviroc 200 nM	_	– NA			
<sup>2</sup> LVu	R5 <sup>+</sup> /X4	Maraviroc 40 nM	_	NA NA			
			_	NA NA			
		AZT 1 μM None	_ ++	- NA			
		Maraviroc 200 nM	TT	– NA			
SVu	R5+/X4		_				
		Maraviroc 40 nM	_	NA			
		AZT 1 μM	<del>-</del>	NA			
		None	+++	+++			
BCSp	R5/X4 <sup>+</sup>	Maraviroc 200 nM	_	_			
•		Maraviroc 40 nM	_	_			
Controls		AZT 1 μM	_	_			
.ontrois		None	+++	+++			
BaL	R5	Maraviroc 200 nM	_	_			
		AZT 1 μM	_	_			
		None	++	_			
		Maraviroc 200 nM	++	NA			
DPMV	X4	Maraviroc 40 nM	++	NA			
		AZT 1 μM	+	NA			
		None	+++	+++			
IIB	X4	Maraviroc 200 Nm	+++	+++			
1110	ΛT	AZT 1 μM	_	_			

NA: not applicable.

- <sup>a</sup> HIV-1 tropism was assessed through multiple cycles of replication test in U87MG-CD4\*/CCR5\* and U87MG-CD4\*/CXCR4\* cells.
- b PBMC infected with 12,000 pg/ml of each strain were co-cultivated with fresh PBMC in presence of maraviroc or AZT up to VII co-culture.
- Viral replication was assessed by p24 quantification in culture supernatants. +++, p24 > 3000 pg/ml; ++, p24 = 400 3000 pg/ml; +, p24 < 400 pg/ml; -, no p24 production.

achieved in our study using clinical isolates, stands as a relevant point in order to get closer to clinical situation. Similarly, another study has shown the ability of the CXCR4 antagonist AMD3100 to inhibit the replication of dual-tropic viruses that use more efficiently the CXCR4 co-receptor than the CCR5 (Fransen et al., 2008).

Moreover, our results are also consistent with the data from the A4001029-study, a Phase 2b clinical trial, where patients carrying non-R5 viruses were treated with maraviroc-containing regimen. This study has indeed shown the suppression of viremia below 50 copies/ml in 27% of patients with D/M-tropic viruses at week 48 of a maraviroc-containing regimen (Saag et al., 2009). In particular, a marked decrease of viremia (close to that achieved in patients carrying R5 virus) at week-12 was observed in patients with a rate of X4-tropic viruses <10% (Swenson et al., 2009). Thus, all these findings suggest that CCR5-antagonists (especially when adminis-

tered together with other active drugs) may represent a therapeutic option that deserves attention also in selected patients carrying a D/M-tropic virus. This further supports the concept of extending the use of CCR5-antagonists to a spectrum of patients potentially larger than only those infected with a full R5-virus. However, further clinical validation is required in order to confirm *in vivo* our hypothesis.

Finally, it should be noted that in the Merit Trial maraviroc arm, the enhanced sensitivity version of Trofile (ESTA) reclassified as dual/mixed 48/360 (13.3%) of patients who had an R5 tropism result at screening with the original Trofile assay. Despite the exclusion of these patients from the reanalysis, virological success (HIV-1 RNA <50 copies/ml) to maraviroc-containing regimen was observed in 68.5% patients compared to 65.3% in the original analysis (Saag et al., 2008; Cooper et al., 2010). Thus, only a 3.2% improvement in

virological response to maraviroc was observed despite this reclassification. In addition, a further analysis shows that 21 out of 48 (45%) patients reclassified as dual/mixed by ESTA, achieved virological success at 48 week of maraviroc treatment (Swenson et al., 2009).

The fact that we did not observe at the seventh co-culture (up to 2 months) of maraviroc treatment a viral rebound in viruses with minority X4-using species (prevalence <0.5% of the entire viral population) can be explained by a recent study showing that virological-failure to maraviroc is related to the outgrowth of preexisting minority X4-using species only if present at a prevalence >2% at the time of starting of maraviroc treatment (Swenson et al., 2010a,b). This is consistent with a previous study showing the lack of X4-species emergence in a R5 primary isolate after several passages in PBMCs using increasing concentration of the CCR5-specific small molecule inhibitor AD101 (Trkola et al., 2002). It is conceivable that these minority X4-tropic species, found at a very low prevalence, are characterized by a very low replication capacity that does not allow them to replicate and become the predominant species. One potential exception to this rule is the case of the quantitatively prevalent X4-tropic species found in the R5<sup>+</sup>/X4 A32Sp isolate (82% X4 of the viral-population), and in the R5/X4<sup>+</sup> BCSp isolate (96.5% X4 of the viral population) also inhibited in vitro by maraviroc. An intriguing hypothesis regarding these two isolates is consistent with a recent study showing that specific antagonists of one among CCR5, CXCR4, and CCR2 receptors lead to functional cross-inhibition of the others (even without a direct binding) (Sohy et al., 2009). Under these circumstances, it is possible that maraviroc in some cases regulates CXCR4 ability to act as receptor for X4-virus strains, thus indirectly inhibiting their replication and outgrowth. There are evident clinical implications of this hypothesis, which require confirmation in other settings. Thus, overall results suggest that the drug-mediated inhibition of HIV-interaction with coreceptors is by far more complex than previously thought, and that the mechanisms underlying such inhibition still need to be in depth assessed.

Our detailed genotypic analysis showed that PSSM scores and geno2pheno FPRs were statistically associated with both CCR5-usage and syncytium-inducing phenotype. Indeed, we found that the non-syncytium-inducing, pure-R5, and R5<sup>+</sup>/X4 isolates were associated with the lowest PSSM scores, while the syncytium-inducing, pure-X4, R5/X4<sup>+</sup> and R5/X4 isolates associated with the highest PSSM values. Similarly, higher FPRs were observed for the non-syncytium-inducing, pure-R5 and R5<sup>+</sup>/X4 isolates, while lower FPRs were associated with the syncytium-inducing, pure-X4, R5/X4<sup>+</sup>, and R5/X4 isolates.

In addition, analyzing the entire gp160 sequence in a subset of isolates, we found the existence of some specific genetic markers in gp120 and gp41 (outside the classical V3 region) correlating with different co-receptor usage, and also with syncytium inducing ability. This is consistent with previous studies showing the involvement of the V1, V2, and C4 domain in mechanisms underlying co-receptor usage (Huang et al., 2007; Kupfer et al., 2007; Thielen et al., 2010).

Overall, these results highlight that genotypic testing for tropism determination can provide important information regarding the viral potential to use the different co-receptors and cytopathic properties, supporting the role of genotypic tropism testing as a valid and accurate assay.

Even if this study is focusing on a large number of primary isolates, it has some potential limitations: the fact that these isolates were obtained from cell-associated HIV-provirus may have limited the number of quasispecies captured. Second, the methodology used to generate viral isolates (based on CD8<sup>+</sup>-depleted PBMC co-culture) could have posed a selective pressure towards the use of a specific co-receptor. However, our results are sim-

ilar to those obtained in other studies where viral tropism and quasispecies-variability within each subject were investigated at both plasmatic and cellular level (Rozera et al., 2009; Swenson et al., 2010a,b). Third, we assessed viral tropism by using a phenotypic assay based on multiple cycles of replication. Differently from single cycle replication assay, multiple cycle assay, even if it shows greater sensitivity, may result on the evolution of the viral population during the assay with consequent changes in viral tropism. Finally, by using the ultra-deep V3 sequencing for the evaluation of quasispecies composition of viral isolates, we are aware that this methodology requires further clinical validation in term of reproducibility of results.

#### 5. Conclusions

Although our results require a clinical validation, they show that among primary HIV-1 isolates, dual-tropic viruses are mostly constituted by a swarm of species with different dual-mixed tropism potential, with a frequently predefined preference for CCR5, and whose replication is well- and long-term controlled by CCR5-antagonists despite their potential ability to enter through CXCR4 co-receptor. Both population-based and ultra-deep sequencing can be used for an optimal prediction of viral-tropism. Taken together, these data suggest a far more important role of CCR5 (compared to CXCR4) in regulating the replication of HIV in CD4-lymphocytes, even under conditions of inhibitors-driven limitation of CCR5-usage. For these reasons, the use of CCR5-antagonists in patients with R5+/X4-tropic viruses can represent a therapeutic option that deserves further clinical investigation.

# **Transparency declaration**

Drs. Stuyver, Vandenbroucke, Van Marck, Mostmans and Aerssens report being employees of Virco BVBA or Tibotec-Virco BVBA, Johnson & Johnson companies, who are owners of the antiretroviral drugs darunavir and etravirine. The other authors declare no competing interests.

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